

The Connecticut General Assembly

Joint Committee on Legislative Management

Donald E. Williams, Jr.
Senate President Pro Tempore

Martin M. Looney, *Senate Majority Leader*
John McKinney, *Senate Minority Leader*

D'Ann Mazzocca, Ph.D.
Executive Director



James A. Amann
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REQUEST FOR PROPOSAL OPERATION OF LEGISLATIVE OFFICE BUILDING FOOD SERVICE FACILITY

Contract #: JCLM09REG0011

Sealed proposals will be received by the Joint Committee on Legislative Management in Room 5100 at the Legislative Office Building, Hartford, Connecticut for providing the Connecticut General Assembly with the goods and/or services listed herein by the date and time cited below.

DATE: September 11, 2008

TIME: 12:00 pm (noon)

A non-mandatory meeting and walk-through for contractors will be held on **August 21, 2008 at 2:00 pm** in Public Hearing Room 1C at the Legislative Office Building.

Published: August 11, 2008

TABLE OF CONTENTS

PART 1 GENERAL INFORMATION 2

 1.1 Executive Summary 1

 1.2 Terminology 1

 1.3 Contact Information 2

 1.4 Portal Website 2

PART 2 PROJECT SCOPE 2

 2.1 Overview 2

 2.2 Administrative Requirements 12

 2.3 Technical Requirements 2

PART 3 EVALUATION OF PROPOSALS 16

 3.1 Mandatory Requirements 16

 3.2 Presentation 16

 3.3 Qualitative Elements 16

 3.4 Scoring 17

 3.5 Debriefing Procedure 17

PART 4 PROPOSAL CONTENTS 17

 4.1 Administrative Documentation 17

 4.2 Technical Documentation 18

 4.3 Documentation Subsequent to Contract Award 19

PART 5 CONTRACTUAL PROVISIONS 19

 5.1 Contract Conditions 19

 5.2 Formation of a Contract 21

 5.3 Contract Breach 22

 5.4 Accounting Records 23

 5.5 Work Product 23

 5.6 Renewal of Contract 23

 5.7 Contractor Guarantees 23

 5.8 Freedom of Information 24

 5.9 Human Rights and Opportunities 25

 5.10 Executive Orders 30

PART 6 PROCUREMENT SCHEDULE 31

 6.1 Issue the RFP 31

 6.2 Meeting and Walk-Through 31

 6.3 Deadline for Questions 31

 6.4 Amendments to Request for Proposal 31

 6.5 Proposal Delivery 31

 6.6 Presentations 31

 6.7 Contract Award and Process 31

PART 7 RESERVED RIGHTS 32

 7.1 Rights 32

 7.2 Disqualification for submitting Proposals 32

ATTACHMENTS

Attachment A	Vendor Evaluation Form
Attachment B	Corporate Resolution and Proof of Authorization Form
Attachment C	Certification Form
Attachment D	Proposal Pricing Page
Attachment E	Per Item Pricing Page
Attachment F	Gift and Campaign Contribution Ban Acknowledgement Form
Attachment G	Nondiscrimination Certification
Attachment H	Insurance Certificate
Attachment I	Historical Sales Data
Attachment J	Typical Menu Items
Attachment K	Portion Specifications
Attachment L	Vendor Profile Form
Attachment M	W-9 Form

PART 1 GENERAL INFORMATION

1.1 Executive Summary

The Connecticut General Assembly (CGA) is the legislative branch of government of the State of Connecticut. Through statutory enactments, the Joint Committee on Legislative Management (JCLM) is responsible for the coordination and management of legislative affairs and the supervision and approval of any and all legislative expenditures.

The JCLM is comprised of the top legislative leaders from each political party and works through a subcommittee system. The Personnel Policies Subcommittee is comprised of the President Pro Tempore of the Senate, the Speaker of the House of Representatives, and the Majority and Minority Leaders of each chamber. The Subcommittee is responsible for establishing legislative personnel policies, guidelines, regulations, and salary schedules, and also approves legislative expenditures exceeding \$50,000.

The JCLM is seeking a management company to operate the food service facility at the Legislative Office Building.

1.2 Terminology

As used herein, the following terms shall have the following meanings unless otherwise required by the context:

- (a) “CGA” - Connecticut General Assembly;
- (b) “Commission” - Commission on Human Rights and Opportunities;
- (c) “Contract” - Agreement signed by parties to formalize the acceptance by the state of an offer of a responder to furnish the services described herein at the stated prices in response to the request for proposals;
- (d) “JCLM” - Joint Committee on Legislative Management;
- (e) “OLM” - Office of Legislative Management;
- (f) “Proposal” - Offer submitted in response to this request for proposals, to furnish the services described herein to the state, under the prescribed conditions at the stated prices;
- (g) “Responder” - Person, firm or corporation submitting a proposal in response to a request for proposals;
- (h) “RFP” - Request for proposal;

- (i) “State” - The Connecticut General Assembly acting by and through the Executive Director of the Office of Legislative Management;

1.3 Contact Information

Mail: Attention: Tina Nadeau Mohr
 Office of Legislative Management
 Legislative Office Building; Room 5100
 Hartford, CT 06106-1591

Email: tina.mohr@cga.ct.gov

Telephone: (860) 240 – 0100 **Fax:** (860) 240 – 0122

1.4 Portal Website

This request for proposal (rfp) is posted on the portal website which can be found at the following address http://www.das.state.ct.us/Purchase/Portal/Portal_Bids_Open.asp?F_Bid_Type=1&F_Unit=OLM.

PART 2 PROJECT SCOPE

2.1 Overview

The JCLM is seeking a management company to operate the food service facility at the Legislative Office Building.

2.2 Description of Current Service

The following is a statement of the nature of the current service being provided by the current food service vendor. The CGA does not expect there to be any major changes in demand for the cafeteria services. It should be noted however, that due to economic conditions, this demand is subject to change.

2.2.1 Current Service Information

1. Population:
 - a. The Connecticut Legislature has a five-month session (January through May) in odd years and a three-month session (February through April) in even years. During these periods the net available Monday to Friday population estimate is as follows:

Legislators.....	187	persons per day
Staff, Full Time, Permanent	400	persons per day
Staff, Full Time, Temporary	190	persons per day
Interns	40	persons per day
Visitors	<u>200</u>	persons per day
TOTAL	1,017	

- b. During the periods when the Legislature is not in session, an estimate of the Monday to Friday population is as follows:

Legislators.....	30 persons per day
Staff, Full Time, Permanent.....	400 persons per day
Staff, Full Time, Temporary.....	50 persons per day
Interns	0 persons per day
Visitors	100 persons per day
TOTAL.....	580

- c. Staff counts include people in the Legislative Office Building (LOB) and the Capitol Building. The Capitol Building has an underground people mover connecting to the LOB at a space adjacent to the Cafeteria.
- d. The Cafeteria is open to other State employees working in other State buildings within the neighborhood. The average daily population in these buildings is approximately 800 persons. These buildings only have snack bars with a limited food selection.
- e. The Cafeteria is open to the public. Over and above visitors to the LOB and the Capitol Building already considered, there is some usage by tourists to the Capitol and commercial workers from non-State buildings in the immediate area.
- f. Facility will be closed Saturday, and Sunday (with the exception of legislative sessions held on the weekends), and on the twelve legal State holidays.
- g. Public transportation is available to and from the City and is in close proximity of the LOB.
- h. Attachment I illustrates cafeteria and catering sales by month for the past two and one half years.

2. Role of Food Service

- a. The object of this request for proposal is to provide the legislature with a high-quality, class A food service, which is to include innovative food service offerings and programs. Food and service should be above average and comparable with area restaurants and cafeterias. The cafeteria should meet the needs of legislators, staff and visitors by providing high quality food, convenience and quick service.
- b. Food service is provided as a service to the Legislators, staff and visitors on business at the State Capitol and LOB. It is not considered as part of the employee benefit package. The operating concept and design provides for flexibility so as to adjust to different populations during legislative sessions and in off session periods.

3. Competition

- a. Departmental Coffee Services - There will be departmental coffeepots and the Contractor will not be involved with supply, service or sanitation.
- b. Vending Machines - Beverages, snacks and cold merchandise vending machines will be available within the buildings.
- c. LOB Newsstand - There is a newsstand with candy and sundry products in the Legislative Office Building. No beverages, pastry or sandwiches will be offered.

4. Take Out

- a. Take out service will be provided by the chosen vendor and is estimated at 30% (during session periods as much as 50%) of cafeteria business.

5. Employment of People with Disabilities

- a. Proposers are encouraged to consider employing people with disabilities to give them equal opportunity to jobs at this site.
- b. Currently The Hartford Association for Retarded Citizens, HARC, 900 Asylum Avenue, Hartford is staffing the dishroom with clients. This program has proved to be mutually beneficial to the current vendor and HARC.
- c. To support the spirit of Section 10-303 of the Connecticut General Statutes, the provider is encouraged to work with the Board of Education Services For The Blind to train available legally blind or sight-impaired individuals and consider hiring qualified trainees as employees.

6. Financial

- a. The State of Connecticut will provide and own all fixed and movable equipment.
- b. The provider will provide all cash registers, small wares (i.e., pots, pans, utensils, service pieces, etc.), office furniture and working capital.
- c. The following expenses will be paid directly by the State of Connecticut:
 - i. Utility costs for the area, including cooking fuel;
 - ii. Insurance covering building contents against fire and theft but excluding personal, product liability and fire liability of \$1,000,000, shall be paid for by the chosen food service vendor;

- iii. Depreciation and interest on furniture, fixtures and equipment;
 - iv. Repairs and maintenance of state-owned fixtures, furniture and equipment;
 - v. Exterminating service;
 - vi. Garbage Removal.
- d. The contract will be for the five-year period of November 1, 2008 through October 31, 2013.
 - e. The contractor will be required to submit an annual certified operating statement showing all sales and expenses for the defined period.
 - f. Vendor must supply the CGA with monthly reports of unit's financial results in a format agreeable to both parties based on the format required in proposal submission section 2.3.5 (4).

2.2.2 Description of Facilities:

1. General

- a. The Legislative Office Building (LOB) and State Capitol Building (Capitol) are located at 300 and 210 Capitol Avenue, respectively. The buildings are connected by an above ground walkway as well as an under ground pedestrian tunnel. In addition to the two buildings, there is a small park and a five-level, parking garage.
- b. The LOB is a 240,000 square foot; five story building configured around an atrium central court. The Capitol was constructed 120 years ago and is approximately 165,000 square feet.
- c. The cafeteria is located on the first floor of the LOB along with the main street entrance to the LOB and the concourse to the Capitol.
- d. Receiving is located on the LOB first floor with easy and direct access to the food service areas, and the garbage dumpster is located near the Receiving Dock.
- e. The Contractor's personnel may park free in the Parking garage as long as legislative employees are allowed to park free.

2. Food Services

- a. There is a main kitchen with associated storage, bathrooms, lockers and a Cafeteria seating approximately 160 persons, close to the main building entrance.

- b. In addition to the Cafeteria general seating area, there is a separate area, which includes approximately 65 seats that is to be used as a Service Dining Room.

2.2.3 Cafeteria Operating Program

1. Operating Days and Hours

- a. The facility will be open continuously from 7:00 AM - 3:00 PM, Monday through Friday in both in and off-session periods. (See exceptions below).
- b. The type of service available will be as follows:

Non- Session Days

Full Breakfast Menu	6:30 AM - 10:00 AM
Continental Breakfast Menu	10:00 AM - 11:00 AM
Lunch Menu	11:00 AM - 2:30 PM
Snack and Beverages Menu	2:30 PM - 4:00 PM

Session Days

Full Breakfast Menu	6:30 AM - 10:00 AM
Continental Breakfast Menu	10:00 AM - 11:00 AM
Lunch Menu	11:00 AM - 3:00 PM (Salad Bar closes at 2:00 pm)
Snack and Beverages Menu	3:00 PM - 4:00 PM

July and August

Full Breakfast Menu	7:00 AM - 10:00 AM
Continental Breakfast Menu	10:00 AM - 11:00 AM
Lunch Menu	11:00 AM - 2:00 PM
Snack and Beverages Menu	2:00 PM - 3:00 PM

- c. In recent years the legislature has held late evening meetings, hearings and/or sessions during those portions of the year when the legislature is in session, on average between 10 and 20 evenings per year. There will be a 24-hour advance notice for additional hours of 5:00 PM - 8:00 PM with a limited specialty menu for these occasions. At these times the operator is also expected to provide free coffee service to each of the caucuses (up to four).
- d. There are occasional Saturday or Sunday sessions for which the cafeteria will need to open with a limited menu.

2. Servery Description

- a. Entry
 - i) Access to servery is available through a set of lockable doors.

- ii) Tray pick up area is within servery. Both permanent and disposable trays are to be available.
 - iii) Napkins and flatware are at the Condiment Stands.
- b. Salad Bar Selection
- Will operate during all periods for breakfast and, lunch. Designed to serve breakfast bar and at lunch - salad items and soup.
- c. Hot Food/Grill/Deli Sections
- i) Operates throughout the year for breakfast and lunch.
 - ii) Designed to serve hot entrees, grilled items, deli sandwiches and selected cold dispensed and hot beverages. Also includes box lunches and pre-made salads in a display case.
- d. Cashier Stand
- i) Three positions with scales and register will be maintained.
 - ii) From 11:30 to 1:30 PM for each day during the regular legislative session three cash registers must be in service and manned.
 - iii) During non-session periods, two cash registers must be in service and manned between 11:30 AM and 1:30 PM.
 - iv) The Contractor will provide scales and registers. Registers must have the capability to handle debit and credit transactions.
 - v) There is no storage within the stands.
- e. Condiment, Permanent and Disposal Utensil and Napkin Stands
- i) Three stands each with double utensil and napkin dispensers, five each non-refrigerated 1/4 size pans with covers for bulk and P/C condiments.
 - ii) There is some storage under Condiment Stands.
 - iii) Biodegradable paper products are required. (Styrofoam is specifically banned).
 - iv) Both permanent and disposable products (plates, bowls, salad dishes, and flatware) are to be in service throughout the year.

3. Seating Area Description

- a. The seating area has approximately 160 seats. The dining room has approximately 65 additional seats.
- b. There is no smoking allowed in any portion of the Cafeteria.
- c. There is an outdoor seating area, for appropriate seasons, in which picnic tables for approximately 100 patrons are made available.
- d. The seating area and/or dining room are used by in-house personnel and outside groups for various functions. In all cases the building personnel and outside group is responsible for returning the areas to original layout. These functions are held outside of regular cafeteria hours.
- e. Tables will be set with disposable salt and pepper shakers.
- f. Cafeteria patrons will self-bus their soiled trays to a tray drop conveyor as they depart the dining area.
- g. Tables are sized for trapezoid sized 14" x 18" trays.
- h. The dining room provides table service to a clientele of legislators, staff and the general public. The dining room offers a menu based on the cafeteria foods and several a la, carte specialty items and sometimes has a hot and cold buffet and/or salad bar.

4. Menus

The "Typical menu Items" in Attachment "B" are presented to provide a guide to style, type and minimum number of items desired to be served at the various sections. They do not necessarily reflect actual items that are required to be on the menus. In addition to the standard menu items, the following are currently provided as part of the current cafeteria's offerings:

- a. Starbucks Coffee Station;
- b. Panini and "overstuffed" sandwiches;
- c. Rotating Carvery;
- d. Home made side salads to accompany each featured specialty;
- e. Italian salads as an addition to the pasta/pizza station;
- f. Home made soups;
- g. Fresh baked muffins;

- h. "Grab and go" lunch and breakfast selections;
 - i. Omelet Bar;
 - j. Lunch Pre-Ordered sandwiches;
 - k. Weekly cook to order station;
 - l. Special cafeteria events, promotions (ice cream socials);
 - m. Buffet Menu – buffet to be offered in the private dining room at least once a week during non-session times;
 - n. Healthy Choice Options with nutritional breakdown
 - o. "Frequent User" card programs for coffee/tea purchases and deli, specials purchases.
 - p. Gift Certificates
5. Cafeteria Menu Portion Specifications
- a. Portions are planned to be comparable with commercial competition.
 - b. Attachment "C" lists representative items and required portion sizes.
 - c. Weekly menus shall be posted on-line on the CGA's intranet.
6. Cafeteria Pricing
- a. Pricing standard is to be market competitive.
 - b. Established pricing will be subject to approval by the Office of Legislative Management.
7. Food Presentation
- a. To be comparable with quality commercial cafeteria operations.
 - b. Food displays are to have good eye appeal and plate presentation shall include appropriate garnish where applicable.
8. Food Purchasing Standard
- a. Food purchases will be of quality that is accepted within the industry. However, products will not be below the following quality standards.

- Beef - Cooked oven ready or portion cuts are not to be below Good
 - Provisions - Selection No. 1
 - Produce - US #1 or Fancy
 - Dairy - Grade A
 - Canned Vegetables - Fancy
 - Canned Fruits - Choice
 - Frozen Vegetables - Grade A
- b. The following additional purchases and recipe standards are provided for further guidance.
- Purchased fully cooked Roast Beef may be used
 - Kosher style Corned Beef shall be purchased fully cooked
 - Turkey for sandwiches or plates shall be Breast and will be purchased fully cooked. Turkey Roll is not acceptable.
 - Sausage Patties are to be all meat.
 - Domestic canned Pullman Hams are acceptable.
 - Salami may be domestic hard.
 - Steak Umms may be used for Steak Sandwich.
 - All beef Hamburgers are to be based on a 90/10 ratio of meat to fat.
 - Shrimp meat, Shrimp TDs may be used for Shrimp Salad, Chow Mein or Newburgs.
 - Tuna and Shrimp Salad may not have any filler except Celery and this filler may not exceed 20% of total finished weight.
 - Frankfurter and Knockwurst shall be all beef.
 - Veal Cutlet may be commercially formed patties of chopped Veal.
 - Frozen raw pre-portioned and breaded Fish, Clams, Scallops and oysters for frying may be used.
 - Purchased Cole Slaw, Potato Salad and Macaroni Salad may not be used.
 - Frozen Strawberries in liquid can be used. Ratio of berries to total weight shall be a minimum of 80%.
 - All canned Fruits may be purchased in light syrup.
 - Canned Puddings may be purchased.
 - Only brand name products shall be used that are visual to or taken by the customers.

9. Service Pieces

- a. Permanent ware and disposable ware for take-out at all sections except for hot and cold beverages, which will be disposable.
- b. All disposable ware is to be biodegradable. Styrofoam is banned.
- c. Trays will be permanent and disposable.
- d. Flatware will be permanent and disposable.

10. Production Methods

Where practical, all Cafeteria menu items requiring advance cooking will be done a maximum of one day prior to day of service.

11. Bussing and Dishwashing

- a. Patrons of the Cafeteria will self-bus to dishwasher conveyor belt drop off.
- b. Dish room personnel will separate items and wash. Food service personnel will return clean ware to designated service points during and at the end of mealtime.

12. Garbage Handling and Cleaning Responsibilities

- a. Plastic lined garbage containers will be located throughout service counter, dishwashing and kitchen areas.
- b. Food service personnel will be responsible to empty cans and remove plastic bags to dumpsters located near rear door as often as required.
- c. Food service personnel will be responsible to clean all food service equipment plus floors, walls and ceilings in the kitchen, servery serving counters, dishwashing area, storeroom and locker rooms.
- d. Food service personnel will clean tables in the cafeteria/dining room seating areas.
- e. Food service personnel are responsible for complying with all aspects of the General Assembly's recycling program established for the State Capitol and Legislative Office Building, which currently includes white paper, newspapers, corrugated cardboard, glass and metal.

13. Receiving, Storage, Lockers and Office Space

- a. Receiving
 - i) Food will arrive at the receiving dock adjacent to the main kitchen and be transported on platform trucks by driver to main kitchen.
 - ii) All deliveries will then be counted or weighed for quantity, checked for quality and placed in appropriate main kitchen storage areas by food service personnel.
- b. Storage:

Space is provided for the following number of days of storage:

Number of Days

Refrigerator - Meat/Vegetables/Dairy	3
Freezer	5
Dry Stores - Food/Paper	5
Dry Stores - Cleaning (Dishwashing area)	5

c. Lockers and Rest Rooms

Rest Rooms and Lockers are located in food service space near storage spaces.

d. Office Space

A small office area is provided off the dining room.

14. Catering

- a. Catering may take place in the dining room, public areas and the general cafeteria space.
- b. Historically catering has been a significant portion of sales to the Contractor.
- c. While the Contractor does not have exclusive rights to catering, the kitchen facility cannot be used by outside caterers serving functions in the complex.

15. Employees

- a. Professional company uniforms, to be approved by the CGA, must be provided to and worn by cafeteria employees at all times.
- b. The awarded vendor is not required to maintain all current workers employed at the LOB cafeteria, however, the vendor shall interview current on-site employees for available positions.

2.3 Administrative Requirements

Proposals shall address the following requirements in the order specified below:

2.3.1 Experience and References

- (a) Responder shall show a minimum of five years of proven successful experience in operating food service facilities similar in size and prestige as required by this contract;
- (b) Responder shall describe the firm, including:
 - i. Indicate the percentage of annual sales that this engagement represents.
 - ii. Size of company (number of employees);
 - iii. Resources;
 - iv. Years in business
 - v. Location
 - vi. Current arrangements with subcontractors

- (c) Responder shall provide a list of a minimum of five accounts that are similar in size and service to that of the proposed LOB facility. Include for each the following information:
- i. Name, title, address of reference;
 - ii. Contact Person and Telephone Number;
 - iii. Overview of the project;
 - iv. Length of the project;
 - v. Total fees associated with the project.
- (d) Responders shall provide a list of all accounts, which you have lost within the past eighteen (18) months that are similar in size and services to the LOB facility. Include the following information:
- i. Name of Facility
 - ii. Address
 - iii. Contact Person and Telephone Number
 - iv. Population of Facility
 - v. Scope of Service
 - vi. Years of Involvement and Type Financial Arrangement
 - vii. Why Terminated
- (e) Provide a copy of License to Conduct Business in Connecticut.
- (f) Provide audited financial statements.
- (g) Copy of Food License from the City of Hartford Department of Health and Human Services - This permit is to be recorded at the Hartford Town Clerk's Office upon contract award.
- (h) Copy of Connecticut Liquor Permit from the CT Liquor Commission - This permit is to be recorded at the Hartford Town Clerk's Office upon contract award.
- (i) Copy of Caterer's permit – This permit is to be recorded at the Hartford Town Clerk's Office upon contract award.

2.3.2 Individual Experience and Knowledge

The proposal shall include the following in regards to the personnel assigned to complete this project:

- (a) A list of key personnel assigned to the project;
- (b) Evidence of five years of experience with projects similar to that described in this RFP;
- (c) Resumes for each individual assigned to the project.

2.4 Specific Proposal Submission Elements

2.4.1 Operating Plans

- (a) Define what positions your company would be recruiting and hiring people with disabilities for.
- (b) Provide an outline of training your company would be providing to the personnel.
- (c) Unique or innovative programs or merchandising proposed to be implemented in addition to what is required by this specification. Please include proposed pricing these programs or additional menu items.
- (d) Indicate exceptions to the defined Cafeteria merchandising, production and service methods, standards and floor plans. No response will construed as an acceptance of the concept, standards and plans for this facility.
- (e) Indicate any required revisions to the current layout of the servery or kitchen to implement your operating plan;
- (f) Customer satisfaction surveys are to be conducted on a semi-annual basis in conjunction with the OLM.

2.4.2 Organizational Plan

- (a) Submit an organizational chart showing relationship of unit manager to corporate hierarchy.
- (b) Submit job description of unit management and hourly job classifications.
- (c) Submit an outline of how supervision would be carried out and the background of the person who will directly supervise this account.
- (d) Indicate management resources available to assure uninterrupted service capability.
- (e) List the location of corporate headquarters and resources available from this location.

2.4.3 Financial Plan

- (a) This is a percentage of sales contract. Submit a financial plan to define the results that would be achieved during the first twelve (12) months of operation.
- (b) Sales and expense projections are to be based on operating 249 days per year within 52 weeks.

(c) The Financial Plan is to be in the following format:

- Cafeteria and Catering Sales
- Cost of Goods Sold
- Including Employee Meals
- Gross Profit
- Expenses
- Payroll -Administrative
- Payroll - Other
- Payroll - Total
- Payroll Taxes & Benefits
- Supplies
- Laundry
- Uniforms
- Cleaning Supplies
- Office Expense
- Insurance
- Replacement of China
- Glass & Small Wares
- Miscellaneous
- Total
- Planned Excess (Deficit) before rent/payment to OLM. These funds are to be paid to OLM on a monthly basis within 30 days after close of the month sales.
- Amount of payment to the State in lieu of rent, to be a percentage of monthly gross cafeteria and catering sales. .

(d) Selling prices without tax for the Cafeteria will be similar to those charged at comparable commercial facilities in the central business district of Hartford.

(e) Complete and submit Proposal Sheet Attachment E listing your proposed Cafeteria selling prices for the listed items, without tax.

2.3.3 Bonds

(a) Bid Bond: The responder shall submit a bid bond in the amount of \$25,000 of the total proposed contract cost along with the proposal. Failure to furnish a bid bond in the proper form and amount with the proposal will be cause for rejection of the proposal.

(b) Performance Bond: Upon contract award, the responder shall submit a performance bond in the amount of \$150,000.

2.3.4 Service Wage Project

This is considered a service wage project. All proposals submitted shall incorporate the cost of paying employees at the current service wage rates.

2.3.5 Examination of Documents and Work Site

- (a) Questions regarding any aspects of this RFP may be submitted by the date specified in section 6.3 of this RFP. Responses will be posted on the portal by the date specified in section 6.4 of this RFP.
- (b) Prior to submitting a proposal, each responder shall examine the RFP and may visit the site of the work. Each responder shall fully inform himself prior to submitting the proposal as to the existing conditions and limitations under which the work is to be performed, and shall included in his proposal a sum to cover the cost of items necessary to perform the work as set forth in this RFP. No allowance will be made to a responder because of lack of such examination or knowledge. The submission of a proposal will be considered conclusive evidence that the responder has made such an examination.

PART 3 EVALUATION OF PROPOSALS

3.1 Mandatory Requirements

JCLM will review proposals submitted to determine if the mandatory administrative and technical submission requirements listed in section two have been addressed.

The state also has the sole discretion to decide if deviations from the mandatory administrative and technical requirements are material and whether to accept a proposal if it fails to comply with said requirements.

3.2 Presentation

After review of the written proposals by the evaluation committee, the JCLM may schedule times for some or all responders to make presentations. During these presentations, the respondent(s) may be asked to provide an overview of their written proposal, answer questions and/or provide clarifications. Designated staff of the Office of Legislative Management may visit the locations listed as references by the responder to view the site operations.

3.3 Qualitative Elements

Once it is determined that the proposal meets the mandatory administrative and technical requirements, the following qualitative elements of the proposal will be evaluated based on the scoring methodology identified in section 3.4. (Attachment A)

- (a) Overall Approach
- (b) Relevant expertise, experience and qualifications of the firm submitting the proposal;

- (c) Recommendations from other facilities of similar size and service;
- (d) Financial condition;
- (e) Innovative menus presented
- (f) Responders understanding of the requirements
- (g) Clarity of Submission
- (h) Professional experience of the responder
- (i) Professional experience of the personnel
- (j) Appropriateness of the proposed fee structure

3.4 Scoring

Once it is determined that a proposal contains the mandatory administrative and technical submission requirements, the qualitative elements of the proposal will be scored on the Vendor Evaluation Form using a scale of outstanding, very good, fair and poor. (Attachment A)

3.5 Debriefing Procedure

JCLM contracting personnel will send letters indicating the proposal selected for contract award.

PART 4 PROPOSAL CONTENTS

Responders shall submit the following required documentation. The state reserves the right to disqualify any proposal which does not include the required documentation.

4.1 Administrative Documentation

The following administrative documentation shall be included with the proposal:

- (a) Proposals must be sent in a sealed envelope to the Office of Legislative Management, Room 5100; Legislative Office Building, Hartford, Connecticut 06106 to the attention of Tina Nadeau Mohr;
- (b) Please note only written proposals will be accepted;
- (c) Copies: An original and three (3) copies of your proposal must be submitted.
- (d) The responder must indicate on the front of the envelope:

SEALED PROPOSAL: Operation of LOB Food Service Facility JCLM09REG0011

DEADLINE FOR RECEIPT: September 11, 2008

- (e) Company experience and references: Evidence of company’s experience, references, and a description of the firm;

- (f) Individual experience and knowledge: Provide list of personnel, discussion of experience and resumes for personnel assigned to the project;
- (g) Notarized Proof of Authorization: The responder must submit a form of a notarized proof of authorization. The responder has the option of satisfying this requirement by either submitting a corporate resolution or proof of authorization. The responder is not required to use the wording provided in the attachment but must ensure that all the information is included with the document meant to satisfy this requirement as indicated (Attachment B).
- (h) Certification Form. (Attachment C)
- (i) Proposal Pricing Page. (Attachment D)
- (j) Vendor profile. (Attached H)
- (k) W-9 form. (Attached I)
- (l) Bid Bond: The responder shall submit a bid bond in the amount of ten percent (10%) of the total proposed contract cost along with the proposal. Failure to furnish a bid bond in the proper form and amount with the proposal will be cause for rejection of the proposal. A bid bond shall be in the form of a firm commitment as follows: a bid bond on a surety company licensed in the State of Connecticut; a postal money order; certified check; or cashier's check. All checks shall be made payable to "The Joint Committee on Legislative Management." Bid guarantees other than bid bonds will be returned (a) to unsuccessful responders as soon as practical after the opening of the proposal, and (b) to the successful responder upon execution of such further contractual documents and bonds as may be required by the proposal. A letter of credit cannot be substituted for a bid bond.
- (m) Gift and Campaign Contribution Ban Acknowledgement Form (CCBA): The responder must complete and submit the Gift and Campaign Contribution Ban Acknowledgement Form pursuant to Public Act 07-1 and Conn. Gen. Stat. Sec. 4-252. The planning date which should be referenced in the affidavit is July 1, 2008. (Attachment F)

4.2 Technical Documentation

The following technical documentation shall be included with the proposal:

- (a) Approach and methodology: The responder must submit a proposed approach and methodology statement that meets the objectives of this RFP;
- (b) Operating Plan: As outlined in 2.4.1
- (c) Organizational Plan: As outlined in 2.4.2
- (d) Financial Plan: As outlined in 2.4.3.

4.3 Documentation Subsequent to Contract Award

The following shall be provided subsequent to the contract award.

- (a) Insurance Certificate: Please see minimum required levels listed in attachment E. The responder must name the JCLM as an additional insured;
- (b) Copy of Permits: Food License, CT Liquor Permit Caterer's permit
- (c) Performance Bond. A performance bond in the amount of \$150,000. The responder to whom the award is made shall furnish a performance bond for the protection of the CGA in accordance with Section 49-41(b) of the Connecticut General Statutes in an amount equal to the total proposal amount within fifteen (15) days of notification of award and prior to the execution of the contract;
- (d) Nondiscrimination Certification: Public Act 07-142 and Public Act 07-245 have amended the nondiscrimination provisions of the Connecticut General Statutes to add civil unions to the existing protected classes and to require State contractors to adopt policies in support of the new statutes by means of a resolution. Accordingly, attachment F is a form certification that the successful contractor must deliver executed at the time that it executes the Contract. The execution and submittal of this certificate is a condition precedent to the State's executing the Contract, unless the contractor is exempt from this statutory requirement, in which case the contractor must obtain a written waiver from the State's Commission on Human Rights and Opportunities. (Attachment F)

PART 5 CONTRACTUAL PROVISIONS

5.1 Contract Conditions

5.1.1 Campaign Contribution Ban Acknowledgement (CCBA)

With regard to a state contract as defined in P.A. 07-01 having a value in a calendar year of \$50,000 or more or a combination or series of such agreements of contracts having a value of \$100,000 or more, the authorized signatory to this submission in response to the state's solicitation shall submit the signed CCBA Form, included in this RFP, which expressly acknowledges receipt of the State Elections Enforcement Commissions' notice advising state contractors of state campaign contributions and solicitation prohibitions, and that the proposer will inform its principals of the contents of this notice.

5.1.2 Gift

Conn. Gen. Stat. § 4-252 (the "Statute") requires that the Request for Proposal, of which these Terms and Conditions are a part, include a notice of the vendor certification requirements described in section (c) and (d) of the Statute. Accordingly, pursuant to the Statute, vendors are notified as follows:

- (a) The terms “gift,” “quasi-public agency,” “state agency,” “large state contract,” “principals and key personnel” and “participated substantially” as used in this section shall have the meanings set forth in the Statute.
- (b) No state agency or quasi-public agency shall execute a large state contract unless the state agency or quasi-public agency obtains the written certifications described in this section. Each such certification shall be sworn as true to the best knowledge and belief of the person signing the certification, subject to the penalties of false statement. These certifications are listed in the RFP on Attachment F.
- (c) The official of the person, firm or corporation awarded the contract, who is authorized to execute the contract, shall certify on such forms as the State shall provide:
- i. That no gifts were made between the date that the state agency or quasi-public agency began planning the project, services, procurement, lease or licensing arrangement covered by the contract and the date of execution of the contract, by (A) such person, firm, corporation, (B) any principals and key personnel of the person, firm or corporation, who participated substantially in preparing the bid or proposal or the negotiation of the contract, or (C) any agent of such person, firm, corporation or principals and key personnel, who participated substantially in preparing the bid or proposal or the negotiation of the contract, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for the contract, who participated substantially in the preparation of the bid solicitation or request for proposals for the contract or the negotiation or award of the contract, or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency;
 - ii. That no such principals and key personnel of the person, firm or corporation, or agent of such person, firm or corporation or principals and key personnel, knows of any action by the person, firm or corporation to circumvent such prohibition on gifts by providing for any other principals and key personnel, official, employee or agent of the person, firm or corporation to provide a gift to any such public official or state employee; and
 - iii. That the person, firm or corporation made the bid or proposal without fraud or collusion with any person.
- (d) Any bidder or proposer that does not make the certifications required under subsection (c) of this section shall be disqualified and the state agency or quasi-public agency shall award the contract to the next highest ranked proposer or the next lowest responsible qualified bidder or seek new bids or proposals.
- (e) The date that the state agency or quasi-public agency began planning the project, services procurement, lease or licensing arrangement to be covered by the contract is **July 1, 2008**.

5.2 Formation of a Contract

Upon acceptance of the proposal, JCLM will initiate the contract process.

5.2.1 Contract Creation

This section serves as a notification to contractor that:

(i) the state's acceptance of the proposer offer to furnish the services required in this RFP shall result in a contract between the contractor and the state which shall bind the contractor on his part to furnish and deliver said services at the prices specified in said proposal, except as modified through negotiations between the state and the contractor, and the state on its part to order from the contractor, except for causes beyond reasonable control, and subject to the availability of appropriated funds, and to pay for at the contract prices, the services provided for in this RFP; and

(ii) all the provisions of this RFP shall be included in the terms and conditions of said contract, except to the extent provided otherwise in an agreement executed by the state and the contractor subsequent to the receipt of said proposal by the state.

5.2.2 Contract Execution

The contractor shall execute a formal contract with the State of Connecticut for the complete performance specified therein. This contract is considered executed once the contract is signed by the contractor and the State.

5.2.3 Term of Contract

The term of the contract shall be for a period of (5) five years and extend from November 1, 2008 till October 31, 2013. The state reserves the right to extend or terminate the contract, if needed.

5.2.4 Modification

No alterations or variations of the terms of contract shall be valid or binding upon the state unless made in writing and signed by the state.

5.2.5 Transfer

It is mutually understood and agreed that the contractor shall not assign, transfer, convey, sublet, or otherwise dispose of his contract or his right, title or interest therein, or his power to execute such contract, to any other person, firm or corporation, without the previous written consent of the state. Any subcontracting work done in regards to this contract must be agreed to in writing by the state before the contractor begins any work.

5.2.6 Governing Law:

This RFP and any contract awarded pursuant thereto shall be governed by the laws of the State of Connecticut with or without reference to principles of conflicts of laws. The parties agree to adjudication by, and hereby waive any objection to the jurisdiction of, such state and federal courts as are situated in Hartford, Connecticut.

Notwithstanding any provision or language in this contract to the contrary, the state may terminate this contract whenever it determines that such determination is in the best interests of the state. Any such termination shall be effected by delivery to the contractor of a written notice of termination. The notice of termination shall be sent by registered mail to the contractor's address furnished to the state for purposes of correspondence or by hand delivery. Upon receipt of such notice, the contractor shall both immediately discontinue all services affected (unless the notice directs otherwise) and deliver to the state all data, drawings, specifications, reports, estimates, summaries, and such other information and materials as may have been accumulated by the contractor in performing his duties under this contract, whether completed or in progress. All such documents, information, and materials shall become the property of the state. In the event of such termination, the contractor shall be entitled to reasonable compensation as determined by the state, however, no compensation for lost profits shall be allowed.

5.3 Contract Breach

5.3.1 Failure to Perform

Failure of the contractor to deliver commodities or services as provided for herein or failure to make replacements of rejected commodities when so requested, immediately or as directed by the state, will constitute authority for the state to purchase in the open market to replace the commodities or services rejected or not delivered. The state reserves the right to authorize immediate purchases in the open market against rejections on any contract when necessary. The contractor agrees promptly to reimburse the state for excess costs occasioned by such purchases. However, should public necessity demand it, the state reserves the right to use or consume commodities delivered which are substandard in quality, subject to an adjustment in price to be determined by the state.

5.3.2 Rejection

Any services rendered by the contractor hereunder which fail in any way to meet the terms of the contract are subject to rejection or payment on an adjusted price basis. The decision of the state shall be final.

5.3.3 Cancellation

The state reserves the right to cancel this contract within five days notice due to unsatisfactory performance. In the event that this is done, the contractor will be paid for all the work performed or commodities provided up to the time of cancellation.

5.4 Accounting Records

The contractor, when under contract, shall maintain adequate accounting records in accordance with all applicable state regulations in connection with this project and such records shall be made available for inspection by the state or other persons designated by the state. The contractor shall make such accounts and records accessible to authorized state officials for the purpose of audit and examination. All records must be maintained for a minimum of three years after completion of the contract.

5.5 Work Product

All materials developed in conjunction with the contract shall become the property of the state at no additional cost.

No report or document produced in whole or in part in connection with the contract shall be the subject of an application for copyright by or on behalf of the contractor.

The contractor, when under contract, shall not use the name of the state for advertising or promotional purposes without prior permission in writing. The contractor is allowed to use name of the state as a reference.

5.6 Renewal of Contract

The state reserves the right to renew this contract under the conditions set forth in Section 2-71u of the Connecticut General Statutes.

5.7 Contractor Guarantees

- (a) The contractor hereby agrees and guarantees to perform any contract awarded in accordance with the specifications, terms and conditions contained in this RFP.
- (b) The contractor shall indemnify, defend and hold harmless the state and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all (1) claims arising, directly or indirectly, in connection with the contract, including the acts of commission or omission (collectively, the "Acts") of the contractor or contractor parties; and (2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with claims, acts or the contract. The contractor shall use counsel reasonably acceptable to the state in carrying out its obligations under this section. The contractor's obligations under this section to indemnify, defend and hold harmless against claims includes claims concerning confidentiality of any part of or all of the bid or any records, any intellectual property rights, other proprietary rights of any person or entity, copyrighted or uncopyrighted compositions, secret processes, patented or unpatented inventions, articles or appliances furnished or used in the performance of the contract.

- (c) The contractor shall reimburse the state for any and all damages to the real or personal property of the state caused by the acts of the contractor or any contractor parties. The state shall give the contractor reasonable notice of any such claims.
- (d) The contractor's duties under this section shall remain fully in effect and binding in accordance with the terms and conditions of the contract, without being lessened or compromised in any way, even where the contractor is alleged or is found to have merely contributed in part to the acts giving rise to the claims and/or where the state is alleged or is found to have contributed to the acts giving rise to the claims.
- (e) The contractor shall carry and maintain at all times during the term of the contract, and during the time that any provisions survive the term of the contract, sufficient commercial general liability insurance to satisfy its obligations under this contract. The contractor shall name the State as an additional insured on the policy and shall provide a certificate of insurance or a copy of the policy to the State prior to the effective date of the contract. The contractor shall not begin performance until the delivery of the policy to the CGA.
- (f) The rights provided in this section for the benefit of the state shall encompass the recovery of attorneys' and other professionals' fees expended in pursuing a claim against a third party.
- (g) This section shall survive the termination, cancellation or expiration of the contract, and shall not be limited by reason of any insurance coverage.

5.8 Freedom of Information

5.8.1 Freedom of Information Act

Due regard will be given to the protection of proprietary information contained in all proposals received; however, contractors should be aware that all materials associated with this procurement are subject to the terms of the Freedom of Information Act, the Privacy Act and all rules, regulations and interpretations resulting therefrom.

5.8.2 Proprietary Information

It will not be sufficient for a contractor to merely state generally that the proposal is proprietary in nature and not therefore subject to release to third parties. Those particular pages or sections which a contractor believes to be proprietary must be specifically identified as such. Convincing explanation and rationale sufficient to justify each exception from release consistent with Section 1-210 of the Connecticut General Statutes must accompany the proposal. The rationale and explanation must be stated in terms of the prospective harm to the competitive position of the contractor that would result if the identified material were to be released and the reasons why the materials are legally exempt from release pursuant to the above-cited statute.

5.8.3 Administrative Authority

Between the contractor and the state, the final administrative authority to release or exempt any or all material so identified rests with the state.

5.9 Human Rights and Opportunities

5.9.1 Required Compliance with Human Rights and Opportunities Regulations

Any contractor must agree to comply with the statutes referred to in this section as they exist on the date of the contract that results from this proposal and as they may be adopted or amended from time to time during the term of the contract and any amendments thereto.

Incorporated by reference into this contract are applicable provisions of the Connecticut General Statutes including but not limited to Sections 4a-60, 4a-60a, 46a-71(d), 46a-81i(d).

5.9.2 Nondiscrimination and Affirmative Action Provisions in contracts of the state and political subdivisions other than municipalities. (Conn. Gen. Stat. 4a-60)

(a) Every contract to which the state or any political subdivision of the state other than a municipality is a party shall contain the following provisions:

(1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut. The contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved;

(2) The contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the commission;

(3) The contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the commission advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;

(4) The contractor agrees to comply with each provision of this section and sections 46a-68e and 46a-68f and with each regulation or relevant order issued by said commission pursuant to sections 46a-56, 46a-68e and 46a-68f;

(5) The contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of this section and section 46a-56. If the contract is a public works contract, the contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as contractor and suppliers of materials on such public works project.

(b) For the purposes of this section, "minority business enterprise" means any small contractor or supplier of materials fifty-one per cent or more of the capital stock, if any, or assets of which is owned by a person or persons:

(1) Who are active in the daily affairs of the enterprise,

(2) Who have the power to direct the management and policies of the enterprise and

(3) Who are members of a minority, as such term is defined in subsection (a) of section 32-9n; and "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations. "Good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements.

(c) Determination of the contractor's good faith efforts shall include but shall not be limited to the following factors: The contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

(d) The contractor shall develop and maintain adequate documentation, in a manner prescribed by the commission, of its good faith efforts.

(e) The contractor shall include the provisions of subsection (a) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the commission. The contractor shall take such action with respect to any such subcontract or purchase order as the commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the commission, the contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

5.9.3 Contracts of the state and political subdivisions, other than municipalities, to contain provisions re nondiscrimination on the basis of sexual orientation. (Conn. Gen. Stat. 4a-60a)

(a) Every contract to which the state or any political subdivision of the state other than a municipality is a party shall contain the following provisions:

(1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or of the state of Connecticut, and that employees are treated when employed without regard to their sexual orientation;

(2) The contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;

(3) The contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said commission pursuant to section 46a-56;

(4) The contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this section and section 46a-56.

(b) The contractor shall include the provisions of subsection (a) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the commission. The contractor shall take such action with respect to any such subcontract or purchase order as the commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the commission, the contractor may request the state of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

5.9.4 Discriminatory Practices by State Agencies (Conn. Gen. Stat. 46a-71(d))

Every state contract or subcontract for construction on public buildings or for other public works or for goods and services shall conform to the intent of section 4a-60 and 4a-60a.

5.9.5 Sexual orientation discrimination: Services of state agencies.(Conn. Gen. Stat. 46a-81i(d))

Every state contract or subcontract for construction on public buildings or for other public work or for goods and services shall conform to the intent of section 4a-60a.

5.9.6 Enforcement (Conn. Gen. Stat. 46a- 56(a))

(a) The commission shall:

- (1) Investigate the possibilities of affording equal opportunity of profitable employment to all persons, with particular reference to job training and placement;
- (2) Compile facts concerning discrimination in employment, violations of civil liberties and other related matters;
- (3) Investigate and proceed in all cases of discriminatory practices as provided in this chapter and noncompliance with the provisions of Sections 4a-60, 4a-60a and 46a-68c to 46a-68f, inclusive, of the Connecticut General Statutes;
- (4) From time to time, but not less than once a year, report to the Governor as provided in Section 4a-60 of the Connecticut General Statutes, making recommendations for the removal of such injustices as it may find to exist and such other recommendations as it deems advisable and describing the investigations, proceedings and hearings it has conducted and their outcome, the decisions it has rendered and the other work it has performed;
- (5) Monitor state contracts to determine whether they are in compliance with Sections 4a-60 and 4a-60a of the Connecticut General Statutes and all other provisions of the general statutes which prohibit discrimination; and
- (6) Compile data concerning state contracts with female and minority business enterprises and submit a report annually to the General Assembly concerning the employment of such business enterprises as contractor and subcontractor.

(b) The commission may, when it is deemed in the best interests of the state, exempt a contractor from the requirements of complying with any or all of the provisions of Section 4a-60, 4a-60a, 46a-68c, 46a-68d or 46a-68e of the Connecticut General Statutes in any specific contract. Exemptions under the provisions of this section may include, but not be limited to, the following instances: (1) If the work is to be or has been performed outside the state and no recruitment of workers within the limits of the state is involved; (2) those involving less than specified amounts of money or specified numbers of workers; (3) to the extent that they involve subcontracts below a specified tier. The commission may also exempt facilities of a contractor which are in all respects separate and distinct from activities of the contractor related to the performance of the contract, provided such an exemption shall not interfere with

or impede the effectuation of the purposes of this section and Sections 4a-60, 4a-60a, 4a-60g, 4a-62 and 46a-68b to 46a-68k, inclusive, of the Connecticut General Statutes.

- (c) If the commission determines through its complaint procedure that a contractor or subcontractor is not complying with anti-discrimination statutes or contract provisions required under Sections 4a-60, 4a-60a, 46a-68c, 46a-68d, 46a-68e or 46a-68f of the Connecticut General Statutes, (A) the state shall retain two per cent of the total contract price per month on any existing contract with such contractor and (B) the contractor shall be prohibited from participation in any further contracts with state agencies until: (i) the expiration of a period of two years from the date of the finding of noncompliance or (ii) the commission determines that the contractor has adopted policies consistent with such statutes. The commission shall make such a determination as to whether the contractor has adopted such policies within forty-five days of its determination of noncompliance. In addition, the commission may do one or more of the following: (1) publish or cause to be published, the names of contractor or unions which it has found to be in noncompliance with such provisions; (2) notify the Attorney General that, in cases in which there is substantial or material violation or the threat of substantial or material violation of the contractual provisions set forth in Sections 4a-60 or 4a-60a of the Connecticut General Statutes, appropriate proceedings should be brought to enforce those provisions, including the enjoining, within the limitations of applicable law, of organizations, individuals or groups who prevent directly or indirectly, or seek to prevent directly or indirectly, compliance with the provisions of said Sections 4a-60 or 4a-60a of the Connecticut General Statutes; (3) recommend to the Equal Employment Opportunity Commission or the Department of Justice that appropriate proceedings be instituted under Title VII of the Civil Rights Act of 1964, when necessary; (4) recommend to the appropriate prosecuting authority that criminal proceedings be brought for the furnishing of false information to any contracting agency or to the commission as the case may be; (5) order the contracting agency to refrain from entering into further contracts, or extension or other modifications of existing contracts, with any noncomplying contractor, until such contractor has satisfied the commission that such contractor has established and will carry out personnel and employment policies in compliance with antidiscrimination statutes and provisions of Sections 4a-60, 4a-60a and 46a-68c to 46a-68f, inclusive, of the Connecticut General Statutes. The commission shall adopt regulations in accordance with Chapter 54 of the Connecticut General Statutes to implement the provisions of this section.
- (d) If the commission determines through its complaint procedure and after a hearing held in accordance with Chapter 54 of the Connecticut General Statutes that, with respect to a state contract, a contractor, subcontractor or supplier of materials has (1) fraudulently qualified as a minority business enterprise or (2) performed services or supplied materials on behalf of another contractor, subcontractor or supplier of materials knowing (A) that such other contractor, subcontractor or supplier has fraudulently qualified as a minority business enterprise in order to comply with antidiscrimination statutes or contract provisions required under Section 4a-60 or 4a-60a of the Connecticut General Statutes, and (B) that such services or materials are to be used in connection with a contract entered into pursuant to Section 4a-60g(b) of the Connecticut General Statutes it shall assess a civil penalty of not more than ten thousand dollars upon such contractor, subcontractor or supplier of materials. The Attorney General, upon complaint of the commission, shall institute a civil action in the superior court

for the judicial district of Hartford to recover such penalty. Any penalties recovered shall be deposited in a special fund and shall be held by the Treasurer separate and apart from all other moneys, funds and accounts. The resources in such fund shall, pursuant to regulations adopted by the commission in accordance with the provisions of Chapter 54 of the Connecticut General Statutes, be used to assist minority business enterprises. As used in this section, "minority business enterprise" means any contractor, subcontractor or supplier of materials fifty-one per cent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) Who are active in the daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in Section 32-9n(a) of the Connecticut General Statutes.

5.9.7 Contractor required to file compliance reports (Conn. Gen. Stat. 46a-68e)

Each contractor shall file, and shall cause each of his contractor to file, with the commission such compliance reports at such times as the commission may direct. Compliance reports shall contain such information as to the practices, policies, programs and employment policies, employment programs, and employment statistics of the contractor and each subcontractor and be in such form as the commission may prescribe.

5.9.8 Compliance reports to include labor union practices: (Conn. Gen. Stat. 46a-68f)

Whenever the contractor has a collective bargaining agreement or other contract or understanding with a labor union or an agency referring workers or providing or supervising apprenticeship or training for such workers, the compliance report shall include information pertaining to such labor union's or agency's practices and policies affecting compliance, as the commission may prescribe; provided, to the extent such information is within the exclusive possession of a labor union or an agency referring workers or providing or supervising apprenticeship or training and such labor union or agency refuses to furnish information to the contractor, the contractor shall so certify to the commission as part of its compliance report and shall set forth what efforts have been made to obtain such information.

5.9.9 Labor Reporting:

The contractor also agrees to make available to the State Department of Labor a listing of all available employment openings for the purpose of carrying out the terms of the contract.

5.10 Executive Orders

This contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of this agreement as if they had been fully set forth in it. Proposers may receive copies of these documents upon request.

PART 6 PROCUREMENT SCHEDULE

6.1 Issue the RFP

The RFP will be issued by August 11, 2008.

6.2 Proposers Meeting and Walk-Through

A non-mandatory meeting and walk-through for contractors will be held on August 21, 2008 at 2:00 pm in Public Hearing Room 1C at the Legislative Office Building.

6.3 Deadline for Questions

All questions must be submitted in writing by August 25, 2008 at 12:00 pm (noon). These submissions must be addressed to the attention of Tina Nadeau Mohr at the Office of Legislative Management; Legislative Office Building; Room 5100, Hartford, CT 06106-1591; tina.mohr@cga.ct.gov

6.4 Amendments to Request for Proposal

All amendments to the RFP and response to written questions will be published no later than 5:00 pm on August 27, 2008.

6.5 Proposal Delivery

All sealed proposals must be delivered by 12:00 pm (noon) on September 11, 2008 to the Joint Committee on Legislative Management in Room 5100 at the Legislative Office Building, Hartford, Connecticut

6.6 Presentations

Those vendors submitting proposals may be contacted for a time to make presentations to provide an overview of their written proposal, answer questions and/or provide clarifications. Designated representatives of the Office of Legislative Management may visit the similar locations required to be provided by the responder to view the operation of the food service site first-hand.

6.7 Contract Award and Process

The successful contractor will be notified they are the preferred candidate once approved by legislative leaders.

PART 7 RESERVED RIGHTS

7.1 Rights

7.1.1 Amendment or withdrawal of proposal

The state reserves the right to amend and/or cancel this RFP at any time prior to the proposal opening, and to correct any award erroneously made as a result of clerical error on the part of the state.

7.1.2 Refusal of Proposal

The state reserves the right to refuse any and all proposals hereunder. The state may refuse any proposal that does not meet the entire RFP.

7.1.3 Public Inspection

All proposals are subject to public inspection upon award.

7.1.4 Advertising

No logos, advertising or proprietary information are allowed on products installed at the State Capitol Facilities.

7.2 Disqualification for submitting Proposals

7.2.1 Disqualification from submitting proposals for contracts. Suspension (Conn. Gen. Stat. 2-71r)

(a) The Joint Committee on Legislative Management may disqualify any person, firm or corporation, for up to two years, from submitting a proposal for, applying for, or participating as a subcontractor under contracts with the legislative department, pursuant to Section 2-71p of the Connecticut General Statutes, for contractual services required by the legislative department, for one or more causes set forth under subsection (c) of this section. The committee shall provide notice and an opportunity to be heard to the person, firm or corporation which is the subject of the proceeding. The committee shall issue a written decision within ninety days of the last date of such hearing and state in the decision the reasons for the action taken and, if the person, firm or corporation is being disqualified, the period of such disqualification. The committee shall send the decision to such person, firm or corporation by certified mail, return receipt requested. The written decision shall be a final decision for the purposes of sections 4-180 and 4-183 of the Connecticut General Statutes.

(b) Before initiating such a proceeding or during the proceeding, the committee may suspend the person, firm or corporation from being considered for the awarding of such a contract for such contractual services, if the committee determines that there is probable cause for disqualification

under section 7.2.1. No such suspension shall exceed three months. The committee may suspend such a person, firm or corporation only by issuing a written decision setting forth the reasons for, and the period of, the suspension. The committee shall send the decision to such person, firm or corporation by certified mail, return receipt requested.

(c) Cause for disqualification or suspension from submitting proposals shall include the following:

- (1) Conviction or entry of a plea of guilty for commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract, or in the performance of such contract or subcontract;
- (2) Conviction or entry of a plea of guilty under state or federal law for embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property or any other offense indicating a lack of business integrity or business honesty which affects responsibility as a state contractor(s);
- (3) Conviction or entry of a plea of guilty under state or federal antitrust, collusion or conspiracy statutes arising out of the submission of bids or proposals;
- (4) Noncompliance with contract provisions, of a character regarded by the committee to be of such gravity as to indicate a lack of responsibility to perform as a state contractor(s), including deliberate failure, without good cause, to perform in accordance with specifications or time limits provided in a contract;
- (5) Recent record of failure to perform or of unsatisfactory performance in accordance with the terms of one or more contracts, unless such failure to perform or unsatisfactory performance was caused by acts beyond the control of the contractor(s) or supplier; or
- (6) Any other cause the committee determines to be so serious or compelling as to affect responsibility as a state contractor(s), including disqualification by another governmental entity, having caused financial loss to the state or having caused a serious delay or inability of state officials to carry out their duties on a past contract or contracts.

7.2.2 Reduction of Disqualification Period

The committee may reduce the period or extent of disqualification, upon the contractor(s)'s request, supported by documentation, for the following reasons:

- (a) Newly discovered material evidence
- (b) Reversal of the conviction upon which the disqualification was based;
- (c) Bona fide change in ownership or management;
- (d) Elimination of other causes for which the disqualification was imposed; or
- (e) Other reasons the committee deems appropriate.

7.2.3 Disqualification Exception

The committee may grant an exception permitting a disqualified contractor(s) to participate in a particular contract or subcontract upon a written determination by the committee that there is good cause, in the interest of the public, for such action.



**VENDOR EVALUATION FORM
ATTACHMENT A**

Operation of LOB Food Service Facility
JCLM09REG0011

The Connecticut General Assembly
Joint Committee on Legislative Management
Legislative Office Building – Room 5100
Hartford, CT 06106
(860) 240 – 0100 FAX: (860) 240 - 0122

Title: _____
Name of Vendor: _____
Evaluation Contact: _____
Date: _____

	Outstanding	Very Good	Fair	Poor
1. Overall Approach				
2. Relevant expertise, experience and qualifications of the firm submitting the proposal;				
3. Recommendations from other facilities of similar size and service;				
4. Financial Condition				
5. Innovative Menus Presented				
6. Responders understanding of the requirements				
7. Clarity of Submission				
8. Professional experience of the responder				
9. Professional experience of the personnel				
10. Appropriateness of the proposed fee structure				



**CORPORATE RESOLUTION AND
PROOF OF AUTHORIZATION
FORM**

ATTACHMENT B

Operation of LOB Food Service Facility
JCLM09REG0011

The Connecticut General Assembly
Joint Committee on Legislative
Management
Legislative Office Building : Rm 5100
Hartford, CT 06106
(860) 240 – 0100
FAX: (860) 240 – 0122

The proposer has the option of submitting either a corporate resolution **OR** proof of authorization similar to those below. The proposer is not required to use the wording below, but must ensure that all the information below is included with the document meant to satisfy this requirement.

SAMPLE CORPORATE RESOLUTION

CERTIFICATION OF AUTHORITY

(DATE)

At a meeting of the Directors of (insert company name) duly called and held at (insert location of meeting) (*location of meeting*) on _____ (*day of meeting*) day of _____ (*date of meeting*), at which a quorum was present and acting, it was VOTED that

(*name of authorized signer*), the

(*title of authorized signer*) of the Corporation is hereby authorized and empowered to make, enter into, sign, seal and deliver in behalf of this Corporation a contract for _____ (*description of project or services*) with the Connecticut General Assembly, Joint Committee on Legislative Management in connection with said contract.

I do hereby certify that the above is a true and correct copy of the record, that said vote has not been amended or repealed and is in full force and effect as of this date and that

(*name of authorized signer*), is duly elected

(*title of authorized signer*) of this Corporation.

Attest:

(*Affix Corporate Seal Here*)

Date: (*Date of meeting*)

(*Signature of Clerk*) _____

(*Printed Name of Clerk*)

Clerk

SAMPLE PROOF OF AUTHORIZATION

PROOF OF AUTHORIZATION

(LOCATION)

(DATE)

On this _____ *(day of authorization)* day of _____ *(date of authorization)*,
before me personally came _____ *(name of authorized signer)*, to me known, who being by me duly sworn, did state he resides in
_____ *(state of residence)*; that he is the _____
(title of authorized signer) of _____ *(company name)*; and
that he has authorization to submit this proposal and enter into a contract for
_____ *(description of services or project)* .

Attest:

(Affix Corporate Seal Here)

Date: *(Date of notary signature)*

(Signature of Notary)

(Printed Name of Notary)

Notary Public, *(State of Commission)*

Commission Expires: *(Date commission expires)*



CERTIFICATION FORM

ATTACHMENT C

Operation of LOB Food Service Facility
JCLM09REG0011

The Connecticut General Assembly
Joint Committee on Legislative
Management
Legislative Office Building : Rm 5100
Hartford, CT 06106
(860) 240 – 0100
FAX: (860) 240 – 0122

IN WITNESS WHEREOF, the undersigned, accepting the conditions set forth herein, hereby agrees in strict accordance therewith, to furnish these services and/or commodities to the General Assembly as listed in the Request for Proposal in accordance with the following guidelines:

Independent Price Determinations and Offer of Gratuities:

1. The costs proposed have been arrived at independently, without consultation, communication, or agreement for the purpose of restricting competition, as to any matter relating to such process with any other organization or with any competitor;
2. Unless otherwise required by law, the costs quoted have not been knowingly disclosed by the Proposer on a prior basis directly or indirectly to any other organization or to any competitor;
3. No attempt has been made or will be made by the Proposer to induce any other person or firm to submit or not to submit a Proposal for the purpose of restricting competition;
4. The Proposer has no knowledge of the specific Proposal contents prior to actual receipt of the Proposal;
5. The Proposer certifies that no elected or appointed official or employee of the State of Connecticut has or will benefit financially or materially from this procurement. Any contract arising from this procurement may be terminated by the State if it is determined that gratuities in excess of those allowed under Chapter 10 of the Connecticut General Statutes (Code of Ethics for Public Officials) were either offered to or received by any of the aforementioned officials or employees from the Contractor's agent or the Contractor's employee(s).

The Proposer agrees to furnish these services and/or commodities to the Connecticut General Assembly as listed in the Request for Proposal at the prices indicated on Attachment E.

SIGNED AND DATED this _____ day of _____

Company: _____

Address: _____

Signature: _____ Date: _____

Name (Printed): _____

Title: _____

Telephone No: _____ Fax No: _____

Federal Employer Identification No: _____



PROPOSAL PRICING PAGE

ATTACHMENT D

Operation of LOB Food Service Facility
JCLM09REG0011

The Connecticut General Assembly
Joint Committee on Legislative
Management
Legislative Office Building : Rm 5100
Hartford, CT 06106
(860) 240 – 0100
FAX: (860) 240 – 0122

Percentage of Monthly Gross Cafeteria and Catering Sales to be paid to the Office of Legislative Management: _____%

Standard payment terms are net 45 days. Please indicate any early payment discount terms that would be applicable to this project: _____% Discount,
_____ Days.

This is considered a service wage project. All proposals submitted shall incorporate the cost of paying employees at the current service wage rates.

The undersigned, accepting the conditions set forth herein, hereby agrees in strict accordance therewith to furnish these services and/or commodities to the General Assembly as listed in the Request for Proposal at the prices proposed therein.

Company: _____

Address: _____

Signature: _____

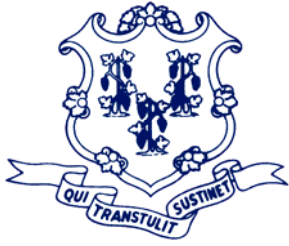
Name (Printed): _____ Title: _____

Federal Employer Identification Number: _____

Telephone Number: _____ Fax Number: _____

Email Address: _____

Date: _____



PER ITEM PRICING PAGE

ATTACHMENT E

Operation of LOB Food Service Facility
JCLM09REG0011

The Connecticut General Assembly
Joint Committee on Legislative
Management
Legislative Office Building : Rm 5100
Hartford, CT 06106
(860) 240 – 0100
FAX: (860) 240 – 0122

Provide your proposed Cafeteria selling prices for the following selected items,
without tax.

	<u>PORTIONS</u>	<u>SELLING PRICE</u>
<u>BEVERAGES</u>		
Tropicana Juice	10 oz.	
Tropicana Seasons Best	16 oz.	
Tropicana Pure Premium	16 oz.	
Poland Spring Water	16.9 oz	
Coffee Regular & Decaffeinated	8 oz.	
Coffee Regular & Decaffeinated	12 oz.	
Coffee Regular & Decaffeinated	16 oz.	
Flavored Coffee	All sizes	
Starbucks Coffee	12 oz.	
Starbucks Coffee	16 oz.	
Tea	I Bag	
Fountain	12 oz.	
Fountain	16 oz.	
Fountain	20 oz.	
Fountain	32 oz.	
Soda Bottles	20 oz.	
Milk	8 oz.	
Milk	16 oz.	
Quick	16 oz	
Snapple	16 oz	
Gatorade	20 oz	
V-8 Juice	16 oz.	
Vitamin Water	20 oz.	
Sparkling and Flavored Water	16-20 oz.	
<u>DESSERTS & FRUITS</u>		
Pie, Fruit	1/8 sl.	
Pie, Cream	1/8 sl.	
Pudding	6 oz	
Jell-O	6 oz	

Initial/Date: _____

Cake Layered	1/16 sl.	
Specialty cakes/Cheesecake	Slice	
Brownies	Each	
Cookie (Fresh Baked)	Each	
Yogurt	8 oz.	
Fresh Fruit (Whole)	Each	
Fresh Fruit Cup	Per oz.	
<u>BREAKFAST-PASTRY</u>		
Muffins	Each	
Cinnamon Bun/Twist	Each	
Bagel/Hard Roll	Each	
Toast	2 slices	
English Muffin	2 halves	
2 eggs, toast	Each	
1 egg on a roll	Each	
w/cheese	Each	
Egg on a croissant instead of roll or bagel	Each	
Omelet, 2 egg cheese with toast	Each	
Omelet, 2 egg w/meat or veggie and cheese w/ toast	Each	
French Toast (3)	Each	
Breakfast Sandwich Special (2 strips of bacon)	Each	
Doughnut	Each	
Cream Cheese /Peanut Butter	Each	
Cereal	1 box	
Danish	Each	
<u>SANDWICHES</u>		
Hot Dog 8/1	Each	
Chili Cheese Dog	Each	
Garden Burger	1/4 lb	
Garden Burger w/ cheese	1/4 lb	
Chicken Burger	1/4 lb	
Hamburger	1/4 lb	
Cheeseburger	1/4 lb	
Bacon Cheeseburger - two slices	1/4 lb	
Grilled Cheese	3 slices of cheese	
Grilled Cheese w/tomato	3 slices of cheese 2 slices of tomato	
Grilled Cheese w/bacon	3 slices of cheese 3 slices of bacon	
Tuna Melt	1/4 lb	

Initial/Date: _____

Ham Melt	¼ lb	
BLT	4 slices of bacon 2 slices of tomato	
Chicken Tenders	Per oz	
Philly Steak and Cheese	6 oz	
Monte Cristo	2 Oz. Turkey, 1 Oz. Ham, 1 Oz. Cheese	
<u>DELI</u>		
Bologna	4 Oz.	
Egg salad	4 Oz.	
Ham	4 Oz.	
Genoa Salami	4 Oz.	
Roast Turkey	4 Oz.	
Tuna Salad	4 Oz.	
Chicken Salad	4 Oz.	
Seafood Salad	4 Oz.	
Roast Beef	4 Oz.	
Chicken Breast	4 Oz.	
Add Cheese	slice	
Overstuffed specialty sandwich	6 oz.	
Wabbits Delight Wrap	Each	
Chicken Casesar Wrap	Each	
Tex-Mex Chicken Wrap	Each	
Buffalo Chicken Wrap	Each	
Deli Special	Each	
<u>SALADS</u>		
Salad Bar per Ounce	Per oz.	
Greek Salad	4 Oz. Meat & Cheese	
Chef Salad	4 Oz. Meat & Cheese	
<u>ENTREES</u>		
Chili with Cheese Topping	8 oz. with 1 oz. Cheese	
Pork Chop	4 oz. Raw	
Pasta with Clam Sauce	2 oz. Cooked Pasta, 4 Oz. Sauce	
Quiche	1/8 of 9" Pie	
Roast Chicken	1/4 of 3½ lb.	
Baked Fish	4 oz.	
<u>SIDE ITEMS</u>		
Sausage	1 patty	
Bacon	Slice	
Home Fries	5 oz	

Onion Rings	5 oz	
French Fries	5 oz	
Vegetable Du Jour	5 oz.	
Chips	Each	
Cheese Slice	Each	
Tomato Slices	Per order	
Cole Slaw, Potato Salad	3 Oz.	
Cheese Slice	Each	
Croissant	Each	
Cottage Cheese	4 Oz. Carton	
Soup	8 Oz.	
Soup	12 Oz.	
Chili Con Carne	8 Oz.	
Chili Con Carne	12 Oz.	
Starch	3 Oz.	

This is considered a service wage project. All proposals submitted shall incorporate the cost of paying employees at the current service wage rates.



**GIFT AND CAMPAIGN
CERTIFICATION**

ATTACHMENT F

Operation of LOB Food Service Facility
JCLM09REG0011

The Connecticut General Assembly
Joint Committee on Legislative
Management
Legislative Office Building : Rm 5100
Hartford, CT 06106
(860) 240 – 0100
FAX: (860) 240 – 0122

Certification to accompany a State contract with a value of \$50,000 or more in a calendar year or fiscal year, pursuant to Connecticut General Statutes 4-250, 4-252, 9-612 and as amended by Public Act 07-1.

INSTRUCTIONS:

Complete all sections of the form. Attach additional copies of this certification, if necessary, to provide full disclosure about any gifts made to any public official or employee of the awarding State agency. Sign and date form in the presence of a Commissioner of the Superior Court or Notary Public. Submit completed form to the awarding State agency at the time of contract execution.

CHECK ONE:

- Initial gift and campaign contribution certification.
- Annual update of initial gift and campaign contribution certification. (Multi-year contracts only.)

CERTIFICATION: [Number of Certifications Sworn and Subscribed On This Day: _____]

I, the undersigned, am the official authorized to execute the attached contract on behalf of the contractor (named below). I hereby certify that no **gifts** were made, as defined and described in C.G.S. §§ 4-250(1) and 4-252(c)(1), between the date (indicated below) that the awarding State agency began planning the project, services, procurement, lease or licensing arrangement covered by this contract and the execution date of this contract, **except for the gift(s) listed below:**

<u>Date of Gift</u>	<u>Name of Gift Giver</u>	<u>Name of Recipient</u>	<u>Value Gift Description</u>

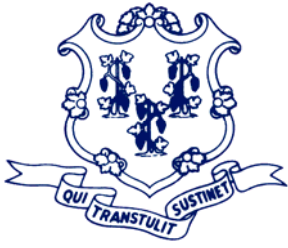
I further certify that neither I, nor any principals or key personnel of the contractor, nor any principals or key personnel of the agents of such contractor, know of any action by such contractor to circumvent the above prohibition on **gifts** by providing for any other principals, key personnel, officials, employees or agents of such contractor to provide a gift to any public official or employee, as described in C.G.S. § 4-250(c). I further certify that, on or after December 31, 2006, neither I, nor any principals or key personnel of the contractor, nor any principals or key personnel of the agents of such contractor, made a contribution to, or solicited a contribution on behalf of, any **campaigns** of candidates for statewide public office or the General Assembly. I further certify that the contractor made the bid or proposal without fraud or collusion with any person.

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement.

_____ Printed Contractor Name	_____ Signature of Authorized Official	_____ Date
_____ Federal Employer ID Number (FEIN) or Social Security Number (SSN)	_____ Printed Name of Authorized Official	
_____ Awarding State Agency	_____ Start Date of Agency Planning	_____ Contract Execution Date

Sworn and subscribed before me on this _____ day of _____, 200__.

Commissioner of the Superior Court or Notary Public



**NONDISCRIMINATION
CERTIFICATION**

ATTACHMENT G

Operation of LOB Food Service Facility
JCLM09REG0011

The Connecticut General Assembly
Joint Committee on Legislative Management
300 Capitol Avenue
Legislative Office Building – Room 5100
Hartford, CT 06106
(860) 240 – 0100 FAX: (860) 240 - 0122

(To be completed by **corporate or other business entity** regarding support of nondiscrimination against persons on account of their race, color, religious creed, age, marital or civil union status, national origin, ancestry, sex, mental retardation, physical disability or sexual orientation.)

I _____ (signer's name), _____ (signer's title)
of _____ (name of entity), an entity lawfully organized and existing under the laws
of _____ (name of state or common-wealth), do hereby certify that the
following is a true and correct copy of a resolution adopted on the ____ day of _____, 20__ by
the governing body of _____ (name of entity), in accordance with all of its
documents of governance and management and the laws of _____ (name of state or
commonwealth), and further certify that such resolution has not been modified, rescinded or revoked, and is, at
present, in full force and effect.

RESOLVED: That _____ (name of entity) hereby adopts as its policy to
support the nondiscrimination agreements and warranties required under Connecticut General Statutes § 4a-
60(a)(1) and § 4a-60a(a)(1), as amended in State of Connecticut Public Act 07-245 and sections 9(a)(1) and
10(a)(1) of Public Act 07-142.

IN WITNESS WHEREOF, the undersigned has executed this certificate this ____ day of _____,
20__.

By : _____
Print Name:

Title: _____

*(To be completed by **individual contractor** regarding support of nondiscrimination against persons on account of their race, color, religious creed, age, marital or civil union status, national origin, ancestry, sex, mental retardation, physical disability or sexual orientation.)*

I _____ (signer's name) of _____ (business address) am entering into a contract (or an extension or other modification of an existing contract) with the State of Connecticut (the "State") in my individual capacity for _____ (If available, insert "Contract No. ____"; otherwise generally describe goods or services to be provided). In order to induce the State to consummate said contract, I hereby certify that I support the nondiscrimination agreements and warranties required under Connecticut General Statutes Sections 4a-60(a)(1) and 4a-60a(a)(1), as amended in State of Connecticut Public Act 07-245 and sections 9(a)(1) and 10(a)(1) of Public Act 07-142.

IN WITNESS WHEREOF, the undersigned has executed this certificate this _____ day of _____, 20____.

Print Name:



INSURANCE REQUIREMENTS

ATTACHMENT H

Operation of LOB Food Service Facility
JCLM09REG0011

The Connecticut General Assembly
Joint Committee on Legislative
Management
Legislative Office Building : Rm 5100
Hartford, CT 06106
(860) 240 – 0100
FAX: (860) 240 – 0122

Please Note: An insurance certificate is not required to be submitted with the proposal but is required upon contract award.

1. The successful proposer shall carry in force for the duration of this agreement the following insurance:
 - (a) All statutory insurance, i.e. worker's compensation and unemployment insurance.
 - (b) Bodily injury, occupational sickness or disease, or death of his employees; bodily injury, sickness or disease, or death of any person other than his employees and claims insured by usual personal injury liability coverage.
 - (c) Damage because of injury to, disappearance, or destruction of tangible property, including the loss of use resulting therefrom.
 - (d) Professional Liability
2. The Comprehensive General Liability Limits Shall Be:

Bodily Injury:	\$500,000 each person, \$1,000,000 each occurrence
Property Damage:	\$1,000,000 each occurrence
3. Automobile and/or truck use on the premises for deliveries, etc., shall require Comprehensive Automobile Insurance with coverage not less than:

Bodily Injury:	\$500,000 each person, \$1,000,000 each occurrence
Property Damage:	\$1,000,000 each occurrence
4. Professional Liability including environmental coverage not less than (if applicable):

Ea. Claim	\$1,000,000
Aggregate	\$2,000,000
Ea. Claim Ded.	\$25,000

For this professional liability coverage, the aggregate limit is the total insurance available for claims presented within the policy period for all operations of the insured. This limit will be reduced by payments of claims & expenses. This insurance is not for a specific project.
5. The insurance certificate shall indicate that the contractor name the Joint Committee on Legislative management as an additional insured and shall defend and save harmless the Joint Committee on Legislative Management from actions, suits, or other legal proceedings that may be instituted on such claims or demands.
6. The insurance certificate shall also indicate that policies may not be canceled without at least 15 days prior notice to the Joint Committee on Legislative Management.
7. The successful vendor shall deliver to the Joint Committee on Legislative Management all required certificates of insurance upon award of the contract as well as an endorsement indicating that the Joint Committee on Legislative management has been added to the policy as an additional insured

ATTACHMENT I

**HISTORICAL SALES DATA
CAFETERIA SALES COMPARISON**

	2005-2006	2006-2007	2007-2008
November	38,703	36,869	41,286
December	47,788	46,082	41,636
January	40,425	53,769	38,684
February	64,783	72,239	56,110
March	105,790	113,392	116,123
April	71,194	72,518	85,106
May	44,858	69,446	62,603
June	49,558	67,646	56,058
July	35,364	32,846	
August	35,564	35,859	
September	50,003	55,151	
October	39,728	41,425	
TOTAL	623,758	697,242	497,606
Monthly Average	51,979.83	58,103.50	62,200.75

CATERING SALES COMPARISON

	2005-2006	2006-2007	2007-2008
November	10,879	6,695	7,930
December	5,139	15,059	10,221
January	12,823	12,517	5,531
February	14,929	20,985	14,557
March	35,544	31,876	31,035
April	40,955	17,794	30,596
May	21,582	19,957	12,986
June	6,768	14,832	17,988
July	2,867	2,530	
August	2,217	416	
September	15,606	5,093	
October	6,543	4,967	
TOTAL	175,852	152,721	130,844
Monthly Average	14,654.33	12,726.75	16,355.50

ATTACHMENT J

TYPICAL MENU ITEMS - OFFERED ON A DAILY BASIS

BREAKFAST

One (1) breakfast special.

LUNCH ENTRÉE STATION:

Two (2) entrée specials on a 3 week minimum rotation

Two (2) vegetable selections

One (1) starch selection

LUNCH DELI STATION:

One (1) deli special on a 3 week minimum rotation

Deli Specials

LUNCH GRILL STATION:

One (1) grill special on a 3 week minimum rotation

LUNCH PASTA STATION:

Two (2) pasta selections

Two (2) sauce selections

LUNCH SOUP STATION:

Two (2) selections on a 3 week minimum rotation

FOOD TO GO:

All food items are to be available "to go".

Boxed Lunches mixing sandwich, fruit, chips

TYPICAL ITEMS - OFFERED AS PART OF A DAILY CHANGING MENU

BREAKFAST SPECIALS

2 Pancakes, Sausage or Bacon & Home Fries

Egg & Ham on an English Muffin w/ Cheese

2 Eggs, Sausage or Bacon & Home Fries

Bacon & Cheese Omelet w/cheese

Breakfast Burrito

SOUPS

Vegetable Noodle

Beef Barley

Split Pea

Cream of Tomato

Cream of Mushroom
Minestrone
Manhattan Clam Chowder

Chicken & Rice
Corn Chowder
Chick Orzo

GRILL SPECIALS

Reuben Sandwiches
Pastrami & Cheese on Grilled Brown Bread
Fried Fish on a Bun
Chicken Fingers with Sweet Sauce

Monte Cristo
Tuna Salad Melt
Grilled Ham & Cheese on Rye
Fries Clams

ENTRÉES

Baked Pork Chop
Quiche Country Fried Steak
Pasta Prima Vera
Beef Fajitas
Teriyaki Steak
Roast Chicken
Baked Fish

Pasta with Clam sauce
Poached Filet of Fish
Fettuccini Alfredo
Stuffed Sole
Omelet
Meat Loaf

VEGETABLES

Green Peas
Broccoli
Carrots French Green
Beans Corn
California Blend
Spinach

Red Cabbage
Sliced Carrots
Glazed Beets
Cauliflower

STARCHES

Seasoned Brown Rice
Parsley Potatoes
Mashed Potatoes
Wild Rice
Baked Potatoes

Browned Potatoes
Rissole Potatoes
Lyonnais Potatoes
Buttered Noodles

SALAD SPECIALS

Cucumber in Sour Cream
Carrot & Raisin Salad
Potato Salad

3 Bean Salad
Marinated vegetable salad

ATTACHMENT K

PORTION SPECIFICATIONS

SOUP, JUICES AND FRUITS

Juice	6 Ounce Can
Soup	8 & 12 Ounces
Cantaloupe Melon.....	1/4 #45
Honey Dew Melon.....	1/8 #9

BEVERAGES

Coffee, Tea	8, 10, 12, 16 Ounces
Milk.....	1/2 Pint
Soda.....	8, 12, 20 Ounces

BREAKFAST ITEMS

Butter/Oleo.....	1 Each #90 Cut
Jelly	1 Each 1/4 Ounce
Doughnut.....	1 Each
Danish 4½".....	1 Each
Muffin, Roll, Bagel.....	1 Each

PRE-MADE SALADS

Turkey	4 Ounces
Meats.....	4 Ounces
Poultry or Seafood Salad with Greens	4 Ounces

HOT ITEMS

Quiche.....	1/8 of a 10" Pie
Pastrami & Cheese.....	3 Ounces Pastrami, 1 Ounce Cheese
Baked Pork Chop	4 Ounces Raw, Portion Control
Hot Wedge.....	4 Ounces Total
Monte Cristo	2 Ounces Turkey, 1 Ounce Ham, 1 Ounce Cheese
Reuben	3 Ounces Corned Beef, 1 Ounce Cheese, 1 Ounce Sauerkraut
Frankfurter	8 per Pound
Hamburger	4 Ounces
Steak Sandwich.....	3 Ounces
Pasta with Sauce	6 Ounces Cooked Pasta, 4 Ounces Sauce

COLD SANDWICHES

Chicken, Tuna & Egg Salad.....	4 Ounces
Sliced Cheese.....	4 Ounces
Ham and Cheese	2 Ounces Ham, 2 Ounces Cheese

Salami, Turkey, Ham.....4 Ounces
Corned Beef and Cheese.....3 Ounces Corned Beef, 1 Ounce
Cheese

SIDE ITEMS

3 Bean, Macaroni & Potato Salads3 Ounces
Cole Slaw3 Ounces
Vegetable.....3 Ounces
Starch3 Ounces
Potato Chips1/2 Ounce Package
Cottage Cheese.....4 Ounce Carton

DESSERTS

Pies1/8 of 9" Pie
Pudding/Jell-O4 ounces
Cake1/12 of a 10" Cake
Yogurt.....8 ounces

STATE OF CONNECTICUT - AGENCY VENDOR FORM

SP-26NB Rev. 4/03

IMPORTANT: ALL parts of this form must be completed, signed and returned by the vendor.**READ & COMPLETE CAREFULLY**

COMPLETE VENDOR LEGAL BUSINESS NAME		Taxpayer ID # (TIN): <input type="checkbox"/> SSN <input type="checkbox"/> FEIN	
WRITE/TYPE SSN/FEIN NUMBER ABOVE			
BUSINESS NAME, TRADE NAME, DOING BUSINESS AS (IF DIFFERENT FROM ABOVE)			
BUSINESS ENTITY: <input type="checkbox"/> CORPORATION <input type="checkbox"/> LLC CORPORATION <input type="checkbox"/> LLC PARTNERSHIP <input type="checkbox"/> LLC SINGLE MEMBER ENTITY <input type="checkbox"/> NON-PROFIT <input type="checkbox"/> PARTNERSHIP <input type="checkbox"/> INDIVIDUAL/SOLE PROPRIETOR			
NOTE: IF INDIVIDUAL/SOLE PROPRIETOR, INDIVIDUAL'S NAME (AS OWNER) MUST APPEAR IN THE LEGAL BUSINESS NAME BLOCK ABOVE.			
BUSINESS TYPE: A. SALE OF COMMODITIES B. MEDICAL SERVICES C. ATTORNEY FEES D. RENTAL OF PROPERTY (REAL ESTATE & EQUIPMENT)			
E. OTHER (DESCRIBE IN DETAIL)			
UNDER THIS TIN, WHAT IS THE PRIMARY TYPE OF BUSINESS YOU PROVIDE TO THE STATE? (ENTER LETTER FROM ABOVE) →			
UNDER THIS TIN, WHAT OTHER TYPES OF BUSINESS MIGHT YOU PROVIDE TO THE STATE? (ENTER LETTER FROM ABOVE) →			
NOTE: IF YOUR BUSINESS IS A PARTNERSHIP, YOU MUST ATTACH THE NAMES AND TITLES OF ALL PARTNERS TO YOUR BID SUBMISSION.			
NOTE: IF YOUR BUSINESS IS A CORPORATION, IN WHICH STATE ARE YOU INCORPORATED?			
VENDOR ADDRESS		STREET	
		CITY	
		STATE	
		ZIP CODE	
Add Additional Business Address & Contact information on back of this form.			
VENDOR E-MAIL ADDRESS		VENDOR WEB SITE	
REMITTANCE INFORMATION: INDICATE BELOW THE REMITTANCE ADDRESS OF YOUR BUSINESS. <input type="checkbox"/> SAME AS VENDOR ADDRESS ABOVE.			
REMIT ADDRESS		STREET	
		CITY	
		STATE	
		ZIP CODE	
CONTACT INFORMATION: NAME (TYPE OR PRINT)			
1 ST BUSINESS PHONE:		Ext. #	
2 ND BUSINESS PHONE:		Ext. #	
CELLULAR:		HOME PHONE:	
1 ST FAX NUMBER:		1 ST PAGER:	
2 ND FAX NUMBER:		2 ND PAGER:	
		TOLL FREE PHONE:	
		TELEX:	
WRITTEN SIGNATURE OF PERSON AUTHORIZED TO SIGN PROPOSALS ON BEHALF OF THE ABOVE NAMED VENDOR			DATE EXECUTED
← SIGN HERE			
TYPE OR PRINT NAME OF AUTHORIZED PERSON		TITLE OF AUTHORIZED PERSON	
IS YOUR BUSINESS CURRENTLY A DAS CERTIFIED SMALL BUSINESS ENTERPRISE? <input type="checkbox"/> YES (ATTACH COPY OF CERTIFICATE) <input type="checkbox"/> NO			
IF YOU ARE A STATE EMPLOYEE, INDICATE YOUR POSITION, AGENCY & AGENCY ADDRESS			
FOR PURCHASE ORDER DISTRIBUTION: 1) CHECK ONLY ONE BOX BELOW 2) INPUT E-MAIL ADDRESS OR FAX # (IF CHECKED)			
<input type="checkbox"/> E-MAIL		<input type="checkbox"/> FAX	
		<input type="checkbox"/> USPS MAIL <input type="checkbox"/> EDI	
If EDI was selected, give us a person to contact in your company to set up EDI:			
NAME:			
E-MAIL ADDRESS:			
TELEPHONE NUMBER:			
FOR REQUEST FOR QUOTATION (RFQ) DISTRIBUTION: 1) CHECK ONLY ONE BOX BELOW 2) INPUT E-MAIL ADDRESS OR FAX # (IF CHECKED)			
<input type="checkbox"/> E-MAIL		<input type="checkbox"/> FAX	
		<input type="checkbox"/> USPS MAIL	

ADD FURTHER BUSINESS ADDRESS, E-MAIL & CONTACT INFORMATION ON SEPARATE SHEET IF REQUIRED

Request for Taxpayer Identification Number and Certification

**Give form to the
requester. Do not
send to the IRS.**

Print or type See Specific Instructions on page 2.	Name	
	Business name, if different from above	
	Check appropriate box: <input type="checkbox"/> Individual/ Sole proprietor <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Other ▶	
	<input type="checkbox"/> Exempt from backup withholding	
	Address (number, street, and apt. or suite no.)	
City, state, and ZIP code		
List account number(s) here (optional)		
Requester's name and address (optional)		

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. For individuals, this is your social security number (SSN). **However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3.** For other entities, it is your employer identification number (EIN). If you do not have a number, see **How to get a TIN** on page 3.

Social security number								
or								
Employer identification number								

Note: If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), **and**
2. I am not subject to backup withholding because: **(a)** I am exempt from backup withholding, or **(b)** I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or **(c)** the IRS has notified me that I am no longer subject to backup withholding, **and**
3. I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 4.)

Sign Here	Signature of U.S. person ▶	Date ▶
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Purpose of Form

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

U.S. person. Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee.

Note: If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Foreign person. If you are a foreign person, use the appropriate Form W-8 (see **Pub. 515**, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien.

Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the recipient has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a **nonresident alien or a foreign entity** not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 30% of such payments (29% after December 31, 2003; 28% after December 31, 2005). This is called "backup withholding." Payments that may be subject to backup withholding include interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will **not** be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester, or
2. You do not certify your TIN when required (see the Part II instructions on page 4 for details), or
3. The IRS tells the requester that you furnished an incorrect TIN, or
4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate **Instructions for the Requester of Form W-9**.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of Federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your social security card. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your **individual** name as shown on your social security card on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

Limited liability company (LLC). If you are a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Treasury regulations section 301.7701-3, **enter the owner's name on the "Name" line.** Enter the LLC's name on the "Business name" line.

Other entities. Enter your business name as shown on required Federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

Note: *You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).*

Exempt From Backup Withholding

If you are exempt, enter your name as described above and check the appropriate box for your status, then check the "Exempt from backup withholding" box in the line following the business name, sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note: *If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.*

Exempt payees. Backup withholding is **not required** on any payments made to the following payees:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2);
2. The United States or any of its agencies or instrumentalities;
3. A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities;
4. A foreign government or any of its political subdivisions, agencies, or instrumentalities; or
5. An international organization or any of its agencies or instrumentalities.

Other payees that **may be exempt** from backup withholding include:

6. A corporation;
7. A foreign central bank of issue;
8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States;

9. A futures commission merchant registered with the Commodity Futures Trading Commission;
10. A real estate investment trust;
11. An entity registered at all times during the tax year under the Investment Company Act of 1940;
12. A common trust fund operated by a bank under section 584(a);
13. A financial institution;
14. A middleman known in the investment community as a nominee or custodian; or
15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt recipients listed above, 1 through 15.

If the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt recipients except for 9
Broker transactions	Exempt recipients 1 through 13. Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker
Barter exchange transactions and patronage dividends	Exempt recipients 1 through 5
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt recipients 1 through 7 ²

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are **not exempt** from backup withholding: medical and health care payments, attorneys' fees; and payments for services paid by a Federal executive agency.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a **resident alien** and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see **How to get a TIN** below.

If you are a **sole proprietor** and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-owner **LLC** that is disregarded as an entity separate from its owner (see **Limited liability company (LLC)** on page 2), enter your SSN (or EIN, if you have one). If the LLC is a corporation, partnership, etc., enter the entity's EIN.

Note: See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get **Form SS-5**, Application for a Social Security Card, from your local Social Security Administration office or get this form on-line at www.ssa.gov/online/ss5.html. You may also get this form by calling 1-800-772-1213. Use **Form W-7**, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or **Form SS-4**, Application for Employer Identification Number, to apply for an EIN. You can get Forms W-7 and SS-4 from the IRS by calling 1-800-TAX-FORM (1-800-829-3676) or from the IRS Web Site at www.irs.gov.

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note: Writing "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 3, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt recipients, see **Exempt from backup withholding** on page 2.

Signature requirements. Complete the certification as indicated in 1 through 5 below.

1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TIN, but you do not have to sign the certification.

2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.

4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).

5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA or Archer MSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee)	The grantor-trustee ¹
b. So-called trust account that is not a legal or valid trust under state law	The actual owner ¹
5. Sole proprietorship or single-owner LLC	The owner ³
For this type of account:	Give name and EIN of:
6. Sole proprietorship or single-owner LLC	The owner ³
7. A valid trust, estate, or pension trust	Legal entity ⁴
8. Corporate or LLC electing corporate status on Form 8832	The corporation
9. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
10. Partnership or multi-member LLC	The partnership
11. A broker or registered nominee	The broker or nominee
12. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ **You must show your individual name**, but you may also enter your business or "DBA" name. You may use either your SSN or EIN (if you have one).

⁴ List first and circle the name of the legal trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.)

Note: *If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.*

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA or Archer MSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, and the District of Columbia to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, or to Federal and state agencies to enforce Federal nontax criminal laws and to combat terrorism.

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 30% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.

